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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

MPT-001

Total Pages

36

First Inventor or Application Identifier

Bryan G. Yamamoto

Title

DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE

Express Mail Label No.

EL597198639US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant Claims small entity status.  
See 37 C.F.R. § 1.27.
3. ☒ Specification [Total Pages 20]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed-Sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 7]
5. ☒ Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Application Data Sheet. See 37 C.F.R. § 1.76

7. ☒ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS) PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Transmittal Of CD-ROM; and Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i)

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. § 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_/

Prior application information: Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

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Edward S. Mao

Registration No. (Attorney/Agent)

40,713

Signature

Edward S. Mao

Date

03/30/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<div>03/30/01</div> <div>961.S. PTO</div> <div>FEE TRANSMITTAL for FY 2001</div> <div>Patent fees are subject to annual revision.</div>		Complete if Known				
		Application Number				
		Filing Date	03/30/2001			
		First Named Inventor	Bryan G. Yamamoto			
		Examiner Name				
TOTAL AMOUNT OF PAYMENT (\$)		440.00	Attorney Docket Number	MPT-001		
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account Number 50-0574 (Docket No. MPT-001)  Deposit Account Name Bever, Hoffman & Harms  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. § 1.27		3. ADDITIONAL FEES				
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other						
FEE CALCULATION						
BASIC FILING FEE						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
01	710	201	355	Utility filing fee	355.00	
06	320	206	160	Design filing fee		
07	490	207	245	Plant filing fee		
08	710	208	355	Reissue filing fee		
14	150	214	75	Provisional filing fee		
SUBTOTAL (1)					(\$)	355.00
EXTRA CLAIM FEES						
Total Claims	25	-20** =	5	x	9.00 =	45.00
Independent Claims	2	-3** =	0	x	18.00 =	00.00
Multiple Dependent						00.00
**or number previously paid, if greater; For Reissues, see below						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description		
103	18	203	9	Claims in excess of 20		
102	80	202	40	Independent claims in excess of 3		
104	270	204	135	Multiple dependent claim, if not paid		
109	80	209	40	**Reissue independent claims over original patent		
110	18	210	9	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)					(\$)	45.00
SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Edward S. Mao	Registration No. (Attorney/Agent)	40.713	Telephone	(408) 451-5904	
Signature	Edward Mao			Date	03/30/2001	

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<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)</b> [Non-Publication Request]	First Inventor	Bryan G. Yamamoto
	Title	DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE
	Attorney Docket	MPT-001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

March 30, 2001  
Date

Edward Mao  
Edward S. Mao, Reg. No. 40,713

This request must be signed in compliance with 37 C.F.R. § 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. § 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. § 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 C.F.R. § 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. § 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. § 122 and 37 C.F.R. § 1.14. This form is estimated to take 6 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Atty. Docket No.: MPT-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Bryan G. Yamamoto  
Application No.: Filed Herewith  
Filing Date: 03/30/2001  
Title: "DUAL-FRAME USER INTERFACE ON GENERIC CLIENT SOFTWARE"

Date: March 30, 2001

Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington D.C. 20231

**TRANSMITTAL OF CD ROM**

Transmitted with the new application identified above is a CD-ROM (2 identical copies) containing:

computer program listing  
☒ IBM-PC formatted  
☒ MS-Windows operating system

A list of files contained on the CD-ROM is as follows:

Name: toc.js (JScript File)  
File Size: 15.3KB (15,718 bytes)  
Size on Disk: 16KB (16,384 bytes)  
Creation Date: 03/27/2001 (copied to CD-ROM on 03/30/2001)

*Edward Mao*

SIGNATURE OF PRACTITIONER  
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Customer No.: 22888

BEVER, HOFFMAN & HARMS, LLP  
2099 Gateway Place, Suite 320  
San Jose, CA 95110-1017

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL, airmail, No. EL597198639US, in an envelope addressed to: Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C., 20231-0001, on 03/30/2001.

Date: 3-30-2001 Signature: Carrie Reddick